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The Historiography of Genocide

Edited by
Dan Stone
Professor of Modern History, Royal Holloway, University of London

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Genocide in the Americas
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Introduction

Although they often differ sharply on the numbers, scholars without exception now portray the European colonization of the Americas as a monumental, perhaps unprecedented, demographic catastrophe for the continents' indigenous peoples. Rejecting earlier estimates that held that the New World was sparsely populated in 1492, demographers now provide projections that, in their highest estimates, sometimes exceed 112,000,000.1 There is general agreement that, whatever their precise pre-contact numbers, indigenous populations within a century after contact were reduced by 90% or more. There is agreement as well on the prime agent of that decimation: infectious diseases to which native peoples had no immunity. But it is also generally recognized that atrocities against native American peoples committed by the invader-colonizers also contributed to their population decline. Did those atrocities constitute genocide? On that question there has been disagreement and controversy.

At one extreme stands a group of scholars who argue that genocide on a massive and unprecedented scale was the primary characteristic of the history of colonization in the Americas. Perhaps the most outspoken and controversial member of this group is Ward Churchill. In *A Little Matter of Genocide* he declared that 'the American holocaust was and remains unparalleled, both in terms of its magnitude and in terms of the degree to which its ferocity was sustained over time not by one but by several participating groups.'2 Elsewhere, Churchill wrote that the perpetrators of genocide against Indians anticipated 'the behavior and the logic that have come to be associated with Hitler's SS. They defined their enemy in purely racial terms, they understood war only in terms of the sheer annihilation of the racial enemy, and they engaged in war because of a combination of abstract conceptions of "progress" on the one hand, and a related desire for pure material gain on the other.'3
Another notable spokesman of this school is David E. Stannard. In his American Holocaust, Stannard took issue with those who refuse to call 'the near-total destruction of the Western Hemisphere's native people' genocide, on the grounds that it was primarily the 'inadvertent' but 'inevitable' result of epidemic disease. 'Although at times operating independently, for most of the long centuries of devastation that followed 1492, disease and genocide were interdependent forces acting dynamically - whipsawing their victims between plague and violence, each one feeding upon the other, and together driving countless numbers of entire ancient societies to the brink - and often over the brink - of total extermination.'

Tzvetan Todorov, in The Conquest of America: The Question of the Other, found substantial support for that view in the writings of a number of contemporary historians of the Spanish invasion of the Americas, among them Fr. Toribio Motolinia, a priest not particularly sympathetic to Indians or their culture. Motolinia described 'ten plagues' which decimated the indigenous population. Only two were outbreaks of infectious disease. The others were deliberate acts of abuse and murder perpetrated by the Spanish, and included famine induced by the destruction of crops, systematic beatings, starvation and overwork of enslaved Indian labourers in fields and mines, and in numerous instances, indiscriminate sadistic killing, including the widespread practice of tossing Indian babies to ravenous dogs. Motolinia's account, dating from 1534, is but one of many hundreds of contemporary records of the genocidal behaviour of conquistadores and colonists occurring in virtually every area of the American continents and extending over several centuries. Todorov, who declared the genocide in the Americas unsurpassed in its scope, dedicated his book to 'the memory of a Mayan woman devoured by dogs'.

Despite the evidence of extensive abuse of indigenous peoples by colonizers, some writers have denied the validity of the concept of genocide to the understanding of the colonization of America. Historian James Axtell, to cite a leading example, has declared that 'genocide ... is historically inaccurate as a description of the vast majority of encounters between Europeans and Indians. Certainly no European colonial government ever tried to exterminate all Indians as Indians, as a race, and you can count on one hand the authorized colonial attempts to annihilate even single tribes ...' The vast majority of settlers had no interest in killing Indians - who were much too valuable for trade and labor - and those who did took careful aim at temporary political or military enemies.' Axtell concludes that descendents of the colonizers need not feel any particular sense of 'moral onus' surrounding the deeds of their forbearers. While recognizing that some colonists did some very bad things, he assures his readers that 'only the rare, certifiable, homicidal maniac sought to commit "genocide" against the Indians.'

Others have agreed with Axtell in challenging the use of the terms 'genocide' and 'holocaust' as descriptive of the encounters of Europeans and Native Americans. Some scholars of the Nazi Holocaust in particular have argued that for atrocities to merit the name of genocide, they must involve the full and sustained use of state power driven by an intention to achieve total racial extermination. The most notable spokesman for this viewpoint is Steven T. Katz, who maintains that 'the Holocaust ... the intentional murder of European Jewry during World War II, is historically and phenomenologically unique ... by virtue of the fact that never before has a state set out as a matter of intentional principle and actualized policy, to annihilate physically every man, woman and child belonging to a specific people.' Colonial policy makers in the Americas, by contrast, according to Katz, generally sought to protect Indian lives in time of peace, as they were needed as labourers, trading partners and military allies.

It is apparent that at issue here is a question of definition. While there have been many efforts over the past half century to refine and focus definitions of genocide, the 1948 United Nations Convention on Genocide remains the sole authoritative international legal definition. The Convention declares, in Article II, that

Genocide means any of the following acts committed with the intent to destroy, in whole or in part, a national, ethnical, racial, or religious group as such:

(a) Killing members of the group
(b) Causing severe bodily or mental harm to members of the group
(c) Deliberately inflicting on members of the group conditions of life calculated to bring about its physical destruction in whole or in part
(d) Imposing measures intended to prevent births within the group
(e) Forcibly transferring children of the group to another group.

Katz and other scholars who argue for the uniqueness of the Nazi Holocaust insist that we must define genocide in a far more narrow and exclusionary sense than the 1948 United Nations convention permits. Their critics argue that in so doing they minimize the sufferings of many victims of racial and ethnic hatreds. They have been faulted as well for not understanding the genocidal nature of the Nazi campaign to exterminate Gypsies, or the Turkish massacres of Armenians a quarter of a century earlier. Those issues lie beyond the scope of this paper. Whatever the merits of the case for the Holocaust's uniqueness, the distinctions Katz and others have drawn between twentieth-century Nazis and European colonizers are nonetheless useful, as they put in sharp focus the inadequacy of generalizations about genocide. To award or deny the label does not explain the violence, or enable us to comprehend the horrors lying beyond the words. To characterize the processes through which Native American lives and cultures were degraded and destroyed as 'genocidal' may express proper moral indignation, but it does not necessarily help us understand the complex,
multi-faceted and often contradictory patterns of inter-racial and inter-cultural interaction on colonial frontiers and within colonies in the Americas.

While examples of state-sponsored extermination of indigenous populations can be found in the records of every colonial power in the Americas, they were, as Axtell maintains, not the rule and were aimed not at all Indians but at a limited number of specific tribal groups. For that reason, Axtell advises that the term ‘genocide’ ought not to be used in discussions of colonialism in the Americas. But these campaigns of extermination, however limited in scope, meet the United Nations definition of genocide in that they sought to ‘destroy, in whole or in part a national, ethnic, racial or religious group as such.’

Granted colonial powers did not explicitly target all Indians for extermination. But the wars they waged against specific Indian nations were often genocidal in effect. Moreover, the violence visited upon tribal peoples all too often came to be justified by the growing belief that the victims no longer deserved to exist as a people. The campaigns to subdue those peoples far exceeded any rational military logic, and often led to outright mass exterminations. Axtell’s dismissal of those cases in which European colonizers sought to ‘annihilate ... single tribes’ as actions against ‘temporary military or political enemies’ misses the essential point. When the killing and/or enslavement of the enemy continued after the victim group ceased to constitute any physical threat to the conqueror, those actions were acts of genocide.

Genocide, under the United Nations definition, does not require the sanction of the state. Indian fatalities in American colonies were often the result of killing campaigns mounted by colonists in apparent defiance of the will of the authorities. Colonial laws invariably forbade the slaughter of Indians in time of peace, or the murder of ‘friendly’ Indians in time of war. But Indians frequently fell victim to murderous settlers, who only infrequently were punished for their crimes. Were those killings genocide? While some argue that they are better described as ‘massacres’, the negligence and even complicity of colonial administrations in failing to protect indigenous peoples indicates that the line dividing officially sanctioned genocide and indiscriminate genocidal private killing was often far from distinct. Thus it is appropriate that the United Nations definition of genocide does not require such a distinction.

Ideological roots of racial violence in the Americas

Rabbi Abraham Joshua Herschel has declared that ‘Auschwitz was built not with stones, but words.’ As one recent scholarly study has noted, genocide is possible only if the ‘victim group’ has been portrayed ‘as worthless, outside the web of mutual obligations, a threat to the people, immoral sinners, and/or sub-human.’ In providing a background for understanding the well-documented, unprompted and extensive physical violence visited upon Native Americans by both explorers and colonizers, the earliest historical accounts of the conquest and colonization of the Americas are telling. Among the most influential of the builders of verbal images of Indians was Peter Martyr, an Italian humanist resident in the Spanish court. His Decades of the New World, first published in its entirety in 1530, provided the first comprehensive history of the founding of the Spanish colonies in the Americas. In his characterizations of Native American peoples, readers found vivid word pictures of creatures bearing resemblance to humans in form, but lacking the social, moral and intellectual qualities of civilized beings. In a strangely ambivalent invocation of a ‘Golden Age’, the author portrayed some of those creatures as gentle folk who lived ‘simply and innocently, without enforcement of laws, without quarrelling, judges, and libels, content only to satisfy nature, without further vexation for knowledge of things to come.’

But the innocent child of nature is not the dominant image of Indians conveyed in Peter Martyr’s writings. Many of his Indians are vicious and bestial, given to cannibalism, devil worship, human sacrifice, sodomy and bestiality. Their wars, brutal and endless, gave ongoing expression to their essentially ferocious nature. Many lived like animals, without the trappings of civilization. Those who did erect elaborate cities and found monarchies gave the superficial appearance of being ‘civilized’, but revealed to the knowable their depravity in the sacrifice of human captives on their high altars and in the cannibalizing of the victims’ bodies. The overall emotional tenor of the Decades is conveyed in this description of some Indian captives whom Peter Martyr, an armchair traveller who never crossed the Atlantic, visited in Spain: ‘There is no man able to behold them but that he shall feel his bowels grate with a certain horror, nature hath endowed them with so terrible a menacing and cruel aspect.’ These deformed and monstrous peoples might be human, but even if they were, they were clearly under the control of the Devil. Belief that the New World was Satan’s realm was widespread among the Spanish, and among other European explorers and colonizers, from the early sixteenth century onwards.

Despite the efforts of Fr Bartolomé de las Casas and other dissenters to promote a more humane image of the peoples of the New World, the emphasis on Indian incapacity and depravity continued to dominate Spanish thought throughout the colonial era. Spanish intellectuals, intent upon resolving the question of the crown’s right to occupy and subjugate the Americas, differed on various points, including the question of whether present Indian backwardness was the product of an inborn, unchangeable incapacity. The points of difference dividing commentators such as Las Casas, Vitoria and Acosta, who affirmed the Indians’ basic humanity, from others such as Oviedo, Gomara and Sepúlveda, who emphasized their brutish qualities, is by no means as wide as scholars once believed. Sixteenth-century Spanish writers were generally agreed that Indians of the New World, while varying in levels of savagery and
emphasis on providential intervention was echoed by numerous later histori­

Through the good offices of Christians, English writers affirmed, some might

Indians of Cape Cod gathered in ‘a dark and dismal swamp’ and sought,

through days of fiendish conjurations, to raise the Devil and hurl him against

the Christians. But through God’s intervention, His Elect remained safe. The

Devil was kept at bay, and the Indians contrary to their true nature constrained

to offer their friendship and aid to the newcomers. Another Plymouth

Goverior, Edward Winslow, struck the same note in a report published in

London in 1624. Had God in His mercy not ‘filled the hearts of the savages

with fear and astonishment’, he wrote, the colony would quickly have fallen

victim ‘to their many plots and treacheries’.20

English belief in God’s protection of their New World ventures was not limited

to His role in restraining Indian savagery. They also maintained that the epidemic

diseases which afflicted the indigenous populations soon after first contact

were both a judgement against sinful Indians and an act of kindness to God’s

own Elect. By killing Indians He was making room for the colonizers. Thomas

Hariot, the chronicler of Sir Walter Raleigh’s short lived Roanoke colony noted,

in 1586, that whenever the English encountered any opposition, ‘within a few

day’s departure from such a town, the people began to die very fast apace.’

God, Hariot declared, was doing ‘a special work ... for our sakes’ by killing

Indians.21 Comparable views of epidemic disease as divine judgement against

diabolical peoples echoed through the English colonies in the early seventeenth

century. They found their fullest expression in the writings of New England’s

Puritan historians. Edward Johnson, official historian of the Massachusetts Bay

Colony, rejoiced ‘at the wondrous work of the great Jehovah ... wasting the

natural inhabitants with death’s stroke’. By that means, the Almighty ‘not only

made room for his people to plant, but tamed the hearts of these barbarous

Indians ... Thus did the Lord allay their quarrelsome spirits.’22

The early chronicles and histories of colonization are thus dominated by a

world view that believed Indians to be slaves of the devil, regarded their way of

life as not only primitive but depraved and diabolical, and saw Indian mortal­

ity from infectious diseases evidence as an act of God intended to clear the

wilderness of evil and make room for God’s own people. These writings provide

important insights into the ideological roots of racial violence in the Americas.

Genocide in Virginia and New England in the early

seventeenth century

The first large scale racial war in North America began at Jamestown in 1622.

After a decade and a half of episodic warfare, uneasy co-existence and sporadic

killings, marked by increasing conflict over land boundaries, the murder of the

Powhatan Holy Man Nematteneuw precipitated a surprise attack on the English

settlements in Virginia. One third of the settlers perished. The response of the

London-based Virginia Company was to order the settlers to mount an exter­

minatory war against the Powhatan Indians that was not to end until they ‘were

no longer a people’. The colony’s Governor Edward Waterhouse was happy to

comply. ‘It is infinitely better’, Waterhouse wrote, ‘to have no heathen among

us.’23 The campaign to obliterate these particular ‘heathen’ was carried out with
ruthless zeal. Settlers killed Powhatan tribesmen on sight. Over one-hundred Powhatans were murdered by poisoning at a dinner the English hosted, a dinner the Indians were told would lead to negotiations to end the war. The tribe itself was driven out of the Virginia tidewater, as settlers complied with the 1624 resolution of the General Assembly that declared that ‘the inhabitants of every corporation shall fall upon their adjoining savages.’ Greatly diminished in numbers, Powhatans found uncertain refuge in the interior. No historian doubts the brutality of the campaign against the Powhatans. But was it genocide? In the sense that the extermination of the Powhatan people was Virginia’s objective, it is hard to answer ‘no’. One scholar declares that had the early English settlers of Virginia been more numerous, they would have succeeded in satisfying their ‘genocidal urges’ by killing all of the Indians of Virginia. Other writers, however, have argued that, despite the virulent anti-Indian rhetoric cited above, the Virginia colony’s policy was never one of exterminatory war against all Indians. The English established and maintained friendly relations with several other tribes in the region, using them as trading partners and occasional military allies. The Virginia colonizers thus made a distinction between friendly and unfriendly Indians (or, as they would have it, pliant savages and vicious savages) that would reappear in other English and Anglo-American colonial areas over the centuries to come. That recurrent distinction provided a rationale for genocidal actions aimed at, and officially limited to, specific presumably irreconcilably hostile groups but not at the entire Indian race. But it must be stressed once again that the singling out of any group for extermination or other specified forms of persecution based solely on group identity meets the legal definition of genocide as contained in the United Nations convention. Moreover, as we will demonstrate later, ‘friendly’ Indians were by no means exempted from long-term policies of subjugation and dispossession that were essentially genocidal in effect.

Among recent historians who debate the question of genocide in colonial America, the primary focus of attention has fallen, not on Virginia, but on New England, leading to a prolonged controversy over the nature of New England’s first Indian war. For three centuries, beginning with the earliest Puritan accounts, historians generally portrayed the Pequots as a particularly aggressive, diabolical and dangerous tribe. Nineteenth-century historian Francis Parkman characterized Pequots as ‘far worse than wolves or rattlesnakes’. Alden T. Vaughan, writing in 1965, declared the tribe ‘had incurred by its forced incursion into New England the enmity of its Indian neighbors and had won a notorious reputation for brutality’. The Pequot War, in Vaughan’s view, was an admirable example of Indians and whites working together to deal decisively with a serious threat to their mutual security.

Recent studies of Pequot history and culture have challenged the assumptions that for so long had been used by historians to justify, or at least explain, the
histories of the war written by the Puritans themselves are of great value. The
heritage of the English commanders at Mystic and of the historians who
celebrated their victory tells us much about the Puritan mindset and permits us
to make a judgement as to whether that mindset might be termed 'genocidal'.
The Puritan writers, two of them officers who ordered the burning, made no
apology and expressed no regret for the indiscriminate killing of Indian non-
combatants. Instead, they represented their action as a righteous punishment
of a people who, they argued, had mightily offended the Lord God. Even before
the beginning of the campaign, as one Puritan historian recounted, clergy had
demanded that the troops 'execute vengeance upon the heathen' by making
'multitudes fall under your warlike weapons'. That is the policy that was
executed at Ft. Mystic. The massacre there was a predictable outcome of the
English perception of Indian adversaries. Captain John Underhill, commander
of the Massachusetts Bay colony forces, admitted that both his Indian allies
and the 'young soldiers' in the Puritan army were sickened by the slaughter,
with some asking 'should not Christians have more mercy and compassion?'
But Underhill took as his guide the military campaigns of King David in the
Old Testament, and likened the Pequots to those enemies of God David slew.
God in His anger allowed no compassion towards such people, 'but harrows
them, and saws them, and puts them to the sword, and the terriblest death
that may be.' As to the killing of innocent women and children, 'sometimes the
Scripture declareth women and children must perish with their parents ...
We had sufficient light from the word of God for our proceedings.'
John Mason, the Connecticut colony's commander, portrayed God looking
down on the burning village and laughing: 'his Enemies and the Enemies of his
people to scorn making them as a fiery oven ... thus did the Lord God judge
among the Heathen and filling the place with dead bodies.' Later Puritan his-
torians stressed the same note. Perhaps the most telling was Edward Johnson's
strange report that the soldiers found it difficult to pierce the bodies of the
Pequots with swords because 'the devil was in them.' Puritan writers on the
Pequot war leave us no reason to doubt that the Pequots were the victims of
genocidal rage. Katz and others are correct in pointing out that New England's
Puritan settlers did not plan the extermination of all Indians. But their identifi-
cation of the Pequots, and later of other Indian tribes, as deserving of death or
enslavement because of certain group characteristics (their imagined alliance with
the devil, their presumed unusual treachery and brutality, etc.) certainly falls
within most definitions of genocide. Neither Raphael Lemkin, who coined the
term, nor the United Nations 1948 Convention on genocide foresaw the restric-
tion of the term to the execution of a policy of total physical extermination.
The New England colonies at the end of the Pequot War systematically exe-
cuted all Pequot warriors, and enslaved all others. Moreover, they demanded
that their Indian allies do the same. No Pequot was set free. These actions are
a prime example of officially sanctioned genocide inflicting suffering on all of
the members of a group because of their group affiliation.

The targeting of specific Indian groups and the use of extreme measures
against their non-combatants as well as their warriors recurred throughout
both the colonial era and the years of frontier settlement in the emergent
American nation states. Ironically, some of the most powerful and warlike
Indian nations were often spared. For example, both the Dutch and later the
English enlisted the Iroquois as allies and trading partners. The Dutch,
however, employed the veteran Indian killer John Underhill in campaigns of
extermination against the more vulnerable and less useful Indians of the lower
Hudson valley and Long Island. Understandably, some historians suspect that
defensive wars against the very vulnerable were really driven by little more
than greed. That is an over-simplification. Chalk and Jonassohn have pro-
posed a typology of genocide that identifies four motives: elimination of a real
or potential threat; spreading terror among real or potential enemies; acquiring
economic wealth; and implementing a belief, theory or ideology. Colonialist
assaults on indigenous peoples were usually prompted by more than one of
these motives, although it must be recognized that economic interests were
often decisive in determining which groups would be the first to be targeted.
In all areas, Native American groups which, for one reason or another, could
not be integrated into the colonial economy were the most likely to be the
earliest victims of genocide.

The mechanics of genocide in colonial America

The processes by which vulnerable indigenous peoples were driven from their
lands or reduced to quasi-slavery were, in their effects, essentially genocidal.
Some confusion on this point has been occasioned by the fact that the meas-
ures employed to subdue and dispossess indigenous peoples were seldom
described initially as exterminatory. As we have seen, some writers have main-
tained that, in instances in which we lack clear evidence of intentionality to
commit genocide, we ought not to describe the decimation of Native
Americans and other victims of colonialism as genocide. But, as Tony Barta has
argued, 'the appropriation of land' placed the colonizers in a relationship 'that
implicitly rather than explicitly, in ways that were inevitable rather than
intentional' fundamentally is a relationship of genocide.' We need, as Barta
emphasizes, to focus on the acts, not the stated intentions, of the expropria-
tors. While the role of ideology in justifying and sustaining genocidal practices
over the long term remains essential, the early processes of colonial subjugation
of indigenous peoples contain the seeds of genocide even if the intention
is usually not explicitly avowed. In understanding the origins of genocide, the
emphasis on 'intent' contained in the United Nations declaration must not
lead us to disregard the implications of policies genocidal in effect if not initially in avowed purpose.

Examination of the use by colonial regimes of brutal military measures often unfamiliar to European trained soldiers gives us some insight into the process by which this ‘relationship of genocide’ of which Barta speaks developed early in the occupation and appropriation of the territories of indigenous peoples. In their actions against resistant Indian tribes, both the French and the English in North America made use of scalp bounties that were first represented as necessary war measures but were essentially genocidal in effect and were later celebrated as such. Europeans turned a ritual war practice observed by some, although not all, Native American peoples into an indiscriminate killing process, scalping not only warriors but non-combatants as well. Colonial governments paid bounties for scalps, offering payment on graduated scales that offered the most reward for adult males, but also compensated the killers of women and children at lower rates. The first bounty system was probably established by the French, who paid for the scalps of hostile Indians killed in Maine in 1688. New England relied originally on payments for body parts, usually heads or hands, demanding that their Indian allies bring in such grisly evidence that they had killed enemy Indians as ordered. In 1694, however, the Massachusetts General Court enacted a scalp bounty, and soon the colony was paying Indian killers 100 pounds for the scalp of male Indians over 10 years of age, 40 for women and 20 for children and infants. These were attractive incentives, as the annual income of a New England farmer averaged around 25 pounds a year. The practice soon spread to all other British colonies. Scalp taking became a popular and lucrative business venture. A Maine clergyman recorded in his journal in 1757 that he had received over 165 pounds as ‘my part of the scalp money’.

Enactment of scalp bounties intensified frontier violence and victimized non-combatants. Bounty hunters usually did not discriminate between children and adults, or between men and women, and sometimes murdered friendly Indians as well. To cite but one incident out of many, the scalping in Virginia in 1759 of several Cherokee warriors returning from service on the British side in the war with France helped trigger an Anglo-Cherokee war. Scalping continued during and after the American Revolution and:

turned, if anything, more sadistic and macabre, as when Ranger Colonel George Rogers Clark, during his celebrated siege of Vincennes in 1779, ordered his men to slowly scalp sixteen living captives – both Indian and white – in full view of the English garrison. The same year, during the Sullivan campaign against the Seneca, soldiers of the Continental Army weren’t content with merely scalping their foes, living or dead. Not uncommonly they skinned them from the hips down in order to make leggings from the tanned ‘hides’.

In the nineteenth century, scalp bounties played a role in ‘the winning of the west’. To cite only a few examples, in Dakota territory, Sioux who resisted dispossession and refused internment on reservations might be killed by bounty hunters, who received $200 for their scalps. Texas and California offered lavish scalp bounties and thereby encouraged indiscriminate Indian killing. When California failed to renew scalp bounty legislation, private business groups stepped in to offer on-going financial support to Indian killers. Texas maintained its official scalp bounties through the 1880s, leading one historian to comment that whites in Texas, Anglo and Hispanic alike, ‘had no more regard for the life of an Indian than they had of a dog, sometimes less’.

Another innovation in American racial wars was the creation of special, irregular ranger units that struck by stealth deep in enemy territory, taking a few prisoners and inflicting maximum pain. Such tactics were employed and perfected in New England during King Philip’s (Metacom’s) War by Captain Benjamin Church. Called out of retirement to fight Indians again during King William’s War, Church exposed the genocidal nature of his activities in his description of a raid on a Christian (Catholic) Indian village in New Brunswick. Finding the men absent from the village, Church related that his rangers proceeded to club to death all the women and children they had left behind.

Better known are the exploits of Robert Rogers, whose most celebrated action during the French and Indian War was a raid on the Indian village of St Francis in Quebec in which, Roberts reported, his rangers killed around 200, most of whom were non-combatants. Numerous witnesses to rangers’ actions throughout the colonial era testified that these units killed indiscriminately. Typical is the Reverend Gideon Johnson’s complaint in 1711 that Carolinians attacking Tuscarora villages did not make ‘the least distinction between the guilty and the innocent ... it is vain to represent to them the cruelty and injustice of such a procedure’.

Both ranger units and militia forces sometimes deliberately murdered neutral or allied Indians as well as hostiles. In the few cases in which their officers were called to account for such atrocities, punishment, if any, was invariably light. The massacre of Indian Moravian converts at Gnadenhutten and the murder of several pro-American Delaware and Shawnee chiefs, all perpetrated by American militia officers during the Revolution are prime examples. The mentality that justified such violence was exposed in the comment of frontier general George Rogers Clark who, after tomahawking five Indian captives during the siege of Vincennes in 1779, boasted that ‘he would never spare a man, woman or child of them on whom he could lay his hands.’ Clark’s sentiment was widely shared, and led to numerous atrocities. The Kentucky Gazette on 15 March 1788, to cite an example, reported that settlers planned to leave poison scattered in abandoned houses, hoping that Indians attempting to use or loot the premises would receive lethal doses. Whites would be warned, by signs Indians could not read,
not to enter.57 Listening to such stories, an English visitor a few years later remarked of Indians that ‘nothing is more common to hear’ in America ‘than talk of extirpating them from the face of the earth, men, women and children’.68

The 1864 massacre perpetrated by Colorado militia Colonel John M. Chivington offers a particularly blatant example of the persistence of that attitude. Chivington, an erstwhile Methodist preacher, was a rabid Indian hater who demanded the killing of infants on the grounds that ‘nits make lice’. During the Colorado Indian war, Chivington and his men fell upon a Cheyenne encampment that was in fact at peace with the United States. They killed, scalped and mutilated, cutting out Cheyenne genitalia for hatbands and tobacco pouches. The Sand Creek massacre was, and remains, controversial. Chivington enjoyed the encouragement and support of Colorado’s territorial governor, but his actions horrified federal officials concerned with Indian pacification. Three federal investigations condemned Chivington, but when a United States Senator, speaking to a Denver audience, suggested ‘civilizing’ the western Indians as an alternative to killing, he was shouted down by screams of ‘exterminate them’.59 Theodore Roosevelt, one of the most scholarly of the Indian haters, declared Chivington’s massacre ‘as righteous and beneficial a deed as ever took place on the frontier’.60 While most historical investigations of the circumstances of the massacre fault Chivington, some writers, remarkably, still agree with Roosevelt.61 The Sand Creek massacre was but one of many genocidal assaults on Indian villages during the western Indian wars. A California militia officer captured the spirit of those campaigns in 1849 when he described a Pomo village assaulted by his unit as ‘a perfect slaughter pen’.62

Warfare against Indians commonly utilized ‘burnt earth’ tactics, sometimes called ‘feedfight’, intended to destroy the Indian subsistence economy and produce mass starvation. One notable example is Colonel James Grant’s assault on the Cherokee in 1761 which left 5,000 without shelter or food.63 Another is General James Sullivan’s campaign against Iroquois who supported the British during the Revolutionary war, a campaign that laid waste to hundreds of square miles of once prosperous country.64 ‘Mad Anthony’ Wayne’s victory at Fallen Timbers in 1794 was indecisive, but his subsequent destruction of Indian villages and fields forced the Miami, the Shawnees and their allies to their knees.65 In the western Indian wars of the following century, the destruction of the buffalo herds brought the Great Plains nations to the edge of starvation and facilitated their dispossession and confinement on reservations.66

Perhaps the most extreme measures of colonial genocide were experiments in germ warfare. In 1763, two Delaware chiefs visiting Fort Pitt to initiate peace discussions were given a present: two blankets. The receipt they signed, still on file, tells us that the purpose was ‘to Convey the Smallpox to the Indians’. The order to do so came from the British commanding General, Lord Amherst, who had directed his subordinates to infect the Indians ‘by means of blankets, as well as to try Every other method that can serve to extirpate this Execrable Race’.67 As one historian of Pontiac’s uprising notes, ‘it is plain that Indians were well beyond European laws of war which strictly forbid killing by poison’.68 It is not clear whether this was the first attempt or whether it was successful. We do know that there were later efforts to use biological agents against Indians. For example, a California newspaper in 1853 noted approvingly that local settlers, in addition to knife and shooting Indians, were taking steps to infect them with smallpox.69 More research needs to be done on this aspect of genocide on the western frontier.

Indian killing on frontiers and elsewhere

Colonial and national governments in both North and South America by law and decree sought to protect the lives of peaceful Indians. One of the most persistent themes in public records is complaints that efforts to make that protection a reality were ineffective, particularly in frontier regions. Often those efforts were half-hearted. But even with the best of intentions, authorities everywhere soon found their ability to restrain murderous whites driven by greed or racial hatred or both quite limited. As to the British colonies, here again contemporary historical literature is revelatory. Anti-Indian violence was not always limited to the backcountry. The writings of Daniel Gookin, the Massachusetts Bay Colony superintendent of Indian affairs, make clear his conviction that the Indian supporters of King Philip were objects of divine wrath because of their failure to embrace the Gospel. But Gookin was appalled by the clamour in Boston to kill Indian converts to Christianity as well. The genocidal fury directed at the ‘praying Indians’ was partly deflected, but many of their number died prematurely as a result of their internment on a barren island in Boston harbour.69 Other Indian groups over the years were even less fortunate, falling victim to Indian haters, some of whom wore militia uniforms. As New England authorities sought to restrain indiscriminate anti-Indian violence during Metacom’s War, a rebel force of Indian haters in Virginia under the command of Nathaniel Bacon deposed the royal governor, William Berkeley, who had restricted the scope of a frontier Indian war. Bacon, once regarded as a precursor or ‘torchbearer’ of the American Revolution for his opposition to royal authority, is now, thanks to the path breaking work of historian Wilcomb Washburn, seen as an advocate and perpetrator of genocide. Bacon’s forces killed no hostile Indians on the frontier, but before their repression did rob and murder peaceful Indian communities within the colony.70

While Indian-hating populist elements elsewhere did not overthrow governments, those who governed often testified that their authority did not extend to the punishment of Indian killers. When a rum-seller named Frederick Stump was jailed in Carlisle, Pennsylvania, in 1768 for murdering ten Iroquois, he was freed by a mob and remained at liberty.72 Some years later, Pennsylvania’s governor
The question of cultural genocide

In response to pressure from the United States and other major powers, the United Nations in its 1948 Convention rejected draft language that would have explicitly recognized cultural genocide as a crime, despite evidence that assaults on indigenous customs and mores not infrequently had the effect of meeting the standard of ‘inflicting severe bodily or mental harm on members of a disorientation, depression, illness, alcoholism, suicide and consequent high mortality rates were often outcomes of presumably benevolent official policies. It was only one aspect of the suffering of indigenous peoples under colonial rule.

Preoccupation with biological extermination must not be permitted to deflect attention from the sometimes well-meaning but, nonetheless, frequently lethal efforts of the colonizers to protect and uplift their charges through changing and ultimately eliminating their cultures.

For the Americas, the most thorough investigations of increases in the death rate of peoples whose cultures were targeted for elimination have focused on the Spanish missions in upper California from their founding through their closure in the nineteenth century. Some of the first published evidence was anecdotal, but since the mid-twentieth century a number of careful statistical studies have left no room to doubt that the missions were indeed lethal places. The Spanish effort to extirpate native religion and culture, win converts and harness Indian labour through rigorous discipline and strict confinement not only inspired more resistance, passive and otherwise, than once imagined, but also was accompanied by an Indian mortality far higher than in the outside native and Spanish populations. In the missions, as Stannard notes, ‘the annual death rate exceeded the birth rate by more than two to one. This is an over-all death-to-birth rate that, in less than half a century, would completely exterminate a population of any size that was not being replenished by new conscripts.’

The reasons remain somewhat controversial, but the best evidence attributes this abnormal mortality to a combination of psychological disorientation and despair, poor sanitation, over-crowding, inadequate diet, over work and physical abuse.

Was this genocide? As the objective of the friars was not extermination but salvation, re-education and exploitation of Indian labour, that question at first glance seems very problematic. But it must be recognized that if we are to understand colonial Indian policies at all, we must be sensitive to the effects of programmes intended to ‘civilize’ them. The physical violence of Indian haters is more appropriate in description of ‘the suppression of a culture, a language, a religion’ as it is a phenomenon that is analytically different from the physical extermination of a group.

The evidence from California and elsewhere suggests, however, that a clear line cannot be drawn between ‘genocide’ and ‘ethnocide’, as the latter often leads to a slow process of partial physical extermination that, apart from the issue of intentionality, arguably meets or at least approximates the conditions of genocide specified in the United Nations convention.
Whether 'ethnocide' is seen as a form of genocide or is regarded as a separate analytical category is, I believe, of minor importance. The examination of the circumstances that have led to the reduction of indigenous populations and the demoralization and in some cases extinction of cultures cannot be, and has not been, constrained by debates over definitions. Recent investigations of inter-cultural conflicts in the Americas have produced a rich new literature on the past history and present status of matters as diverse as deprivation and mortality on Indian reservations, cultural repression in Indian boarding schools, ongoing Indian health problems, struggles over Indian land rights, Pan-Indian movements, federal Indian policies and movements of religious revitalization. Comparable topics have been pursued by Latin Americanists. Of particular interest are studies of conflicts between Hispanicized mestizo cultures and indigenous peoples. The overall thrust of current scholarship on the problems of assimilation, forced and otherwise, has been threefold: to continue the analysis of the past damage wrought by many presumably benevolent programmes of Indian uplift, to describe and evaluate various Indian resistance strategies including those involving partial assimilation (thereby challenging the once dominant image of the Indian as passive victim), and, often, to lend support to demands for policy changes that restore Indian self-sufficiency and self-determination. But this is not to suggest that genocide in its starkest form has not been a part of the contemporary history of the Americas. The late twentieth century witnessed campaigns of extermination against indigenous peoples in Central America, Brazil and Paraguay, mounted both with and without, the support of the state. Crimes of genocide against Native Americans are not found only in the historical record. Sadly, they remain a present reality.

Notes

3. Quoted in M. A. Jaimez, 'Sand Creek: The Morning After', The State of Native America: Genocide, Colonisation and Resistance, ed. M. A. Jaimez (Boston, MA: South End Press, 1992), p. 3. Jaimez concurred, declaring 'the U.S. destruction of its indigenous population resembled the campaigns of Nazi Germany' far more closely than more recent genocides in places such as Cambodia. 'The Third Reich and the United States did what they did for virtually identical reasons.' Most other cases, she concluded, 'deviate significantly in motivation if not in method'.
8. Of the many theoretical redefinitions in the literature on genocide, this writer follows most closely the work of Leo Kuper, who, although recognizing shortcomings in the United Nations convention, works within its parameters because of its standing international law. See, in particular, Kuper's Genocide: Its Political Use in the Twentieth Century (New York: Penguin, 1981).
10. Quoted in Rosenbaum, ed., Is the Holocaust Unique? Perspectives on Comparative Genocide, p. 211.
13. This point is thoroughly developed in Pagden, The Fall of Natural Man.


36. Ibid., p. 30.


39. R. D. Karr, “Why Should You be So Furious?” The Violence of the Pequot War, Journal of American History, 85 (1988), 876–909 provides a useful comparative analysis that demonstrates that the slaughter of non-combatants and the killing of prisoners of war was not uncommon in European conflicts in which one side, or both, viewed the other as less than a legitimate belligerent. However, he sidesteps the genocide issue. The burden of his argument appears to be that Indians were not victims of genocide.

40. See for example, Jennings’s analysis of the Puritan ‘wars of conquest’ in The Invasion of America.

41. Chalk and Jonasohn, The History and Sociology of Genocide, p. 29.


43. Scarpeling was a war practice employed by some, but by no means all, pre-Columbian Americans. Where it was found, it operated largely as a quasi-religious ritual intended, not only to terrorize the enemy, but also to appropriate the strength and power of the fallen warrior. Scaps were given places of honour in Indian villages and sometimes formally adopted into the tribe. See J. Axtell, The Unkindest Cut, or Who Invented Scarpeling? A Case Study, and ‘Scarpeling: The Ethnohistory of a Moral Question’, in The European and the Indian: Essays in the Ethnohistory of Colonial America (New York: Oxford University Press, 1981), pp. 16–38 and 205–44.


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53. B. Church, History of the Eastern Expeditions of 1689, 1690, and 1692 (Boston: Waggens and W. P. Lunt, 1867), pp. 11-12.

54. S. Brumwell, White Devil: A True Story of War, Savagery, and Vengeance in Colonial America (New York: Da Capo Press, 2004). Brumwell, drawing on Indian oral histories, concludes Rogers greatly exaggerated the number he killed at St. Francis. It tells us much about his mindset that he would do so.

55. Quoted in Grenier, The First Way of War, p. 46.


57. Sword, President Washington's Indian War, p. 73.


61. The most authoritative and balanced study is S. Hoig, The Sand Creek Massacre (Norman, OK: University of Oklahoma Press, 1961). W. R. Dunn, 'I Stand by Sand Creek': A Defense of Colonel John M. Chivington and the Third Colorado Cavalry (Fort Collins, CO: Old Army Press, 1985) offers an example of the acceptance of Chivington's questionable claim that he found numerous scalps of white women and children at the Sand Creek encampment. Rogers had used the same argument to justify his indiscriminate killing of Indians at St. Francis a century earlier.


68. Quoted in Madley, 'Patterns of Frontier Genocide', p. 179.


71. Stannard, American Holocaust, p. 137.


73. Chalk and Jonaschon, History and Sociology of Genocide, p. 23.
